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|-----------------------------|--|------------------------|--|
| State: | District of Columbia | Filing Company: | Travelers Casualty and Surety Company of America |
| TOI/Sub-TOI: | 26.0 Burglary and Theft/26.0001 Commercial Burglary and Theft | | |
| Product Name: | Identify Fraud Expense Reimbursement (IDF) 2014-12-0062-EFF | | |
| Project Name/Number: | Identify Fraud Expense Reimbursement (IDF) 2014-12-0062-EFF/2014-12-0062-EFF | | |

Filing at a Glance

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|---------------------------|--|
| Company: | Travelers Casualty and Surety Company of America |
| Product Name: | Identify Fraud Expense Reimbursement (IDF) 2014-12-0062-EFF |
| State: | District of Columbia |
| TOI: | 26.0 Burglary and Theft |
| Sub-TOI: | 26.0001 Commercial Burglary and Theft |
| Filing Type: | Form |
| Date Submitted: | 09/04/2015 |
| SERFF Tr Num: | TRVE-130232898 |
| SERFF Status: | Submitted to State |
| State Tr Num: | |
| State Status: | |
| Co Tr Num: | 2014-12-0062-EFF |
| Effective Date | 07/01/2016 |
| Requested (New): | |
| Effective Date | 07/01/2016 |
| Requested (Renewal): | |
| Author(s): | Socorro Armstrong, Theresa Lavenburg, Timothy Bengston, Sandy J Olson, Linda Sperry, Stacy Mandelker, Julie Stuart |
| Reviewer(s): | |
| Disposition Date: | |
| Disposition Status: | |
| Effective Date (New): | |
| Effective Date (Renewal): | |

State: District of Columbia **Filing Company:** Travelers Casualty and Surety Company of America

TOI/Sub-TOI: 26.0 Burglary and Theft/26.0001 Commercial Burglary and Theft

Product Name: Identify Fraud Expense Reimbursement (IDF) 2014-12-0062-EFF

Project Name/Number: Identify Fraud Expense Reimbursement (IDF) 2014-12-0062-EFF/2014-12-0062-EFF

General Information

Project Name: Identify Fraud Expense Reimbursement (IDF) Status of Filing in Domicile:
2014-12-0062-EFF

Project Number: 2014-12-0062-EFF Domicile Status Comments:

Reference Organization: Reference Number:

Reference Title: Advisory Org. Circular:

Filing Status Changed: 09/04/2015

State Status Changed: Deemer Date:

Created By: Linda Sperry Submitted By: Linda Sperry

Corresponding Filing Tracking Number:

Filing Description:
2014-12-0062-EFF
Identity Fraud Expense Reimbursement Coverage (IDF)
Form Filing

Travelers Casualty and Surety Company of America, 3548-31194, 06-0907370

In compliance with the insurance laws and regulations of your jurisdiction, we respectfully submit the attached filing which consists of our request to change the effective date. This filing was previously approved by your department on March 23, 2015 under our filing number 2014-12-0062 and SERFF Tracking Number TRVE-129899329.

We would like to propose a new implementation date for this filing to be effective July 1, 2016 for all new and renewal business.

Should you have any questions, please feel free to contact me. Thank you for your consideration of this matter.

Company and Contact

Filing Contact Information

Linda Sperry, Regulatory Analyst LSperry1@travelers.com
One Tower Square 860-277-7096 [Phone]
S202B
Hartford, CT 06183

Filing Company Information

| | | |
|--|-------------------------|--------------------------------|
| Travelers Casualty and Surety Company of America | CoCode: 31194 | State of Domicile: Connecticut |
| One Tower Square | Group Code: 3548 | Company Type: |
| 2S2B | Group Name: | State ID Number: |
| Hartford, CT 06183 | FEIN Number: 06-0907370 | |
| (860) 277-0179 ext. [Phone] | | |

Filing Fees

Fee Required? No

Retaliatory? No

| | | | |
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Fee Explanation:

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Form Schedule

| Item No. | Schedule Item Status | Form Name | Form Number | Edition Date | Form Type | Form Action | Action Specific Data | Readability Score | Attachments |
|----------|----------------------|--------------------------------|---------------------|--------------|-----------|-------------|----------------------|-------------------|--------------------|
| 1 | | INVESTMENT ADVISER ENDORSEMENT | IDF-19001 Ed. 01-16 | | END | New | | 59.000 | IDF-19001-0116.pdf |

Form Type Legend:

| | | | |
|------------|--|------------|----------------------------------|
| ABE | Application/Binder/Enrollment | ADV | Advertising |
| BND | Bond | CER | Certificate |
| CNR | Canc/NonRen Notice | DEC | Declarations/Schedule |
| DSC | Disclosure/Notice | END | Endorsement/Amendment/Conditions |
| ERS | Election/Rejection/Supplemental Applications | OTH | Other |

INVESTMENT ADVISER ENDORSEMENT

This endorsement changes the following:

Identity Fraud Expense Reimbursement

It is agreed that:

1. The following replaces section II. **DEFINITIONS, H.:**

H. *Subsidiary* means:

1. any corporation, partnership, limited liability company or other entity, other than a **Fund** or **Portfolio Company**, organized under the laws of any jurisdiction in which, on or before to the Inception Date set forth in ITEM 2 of the Declarations, the **Insurance Representative** owns, directly or indirectly, more than 50% of the outstanding securities or voting rights representing the present right to elect, appoint or exercise a majority control over such entity's board of directors, board of trustees, board of managers, natural person general partners, or functional equivalent;
2. subject to the provisions set forth in section IV. **CONDITIONS H. ACQUISITIONS**, any entity, other than a **Fund** or **Portfolio Company**, that the **Insurance Representative** acquires or forms during the **Policy Period** in which the **Insurance Representative** owns, directly or indirectly, more than 50% of the outstanding securities or voting rights representing the present right to elect, appoint or exercise a majority control over such entity's board of directors, board of trustees, board of managers, natural person general partners, or functional equivalent;

2. The following are added to section II. **DEFINITIONS:**

Fund means any investment vehicle managed by the **Insurance Representative** or any **Subsidiary**, including hedge funds, mutual funds and private equity funds.

Portfolio Company means an entity in which a **Fund**, whether directly or through an investment vehicle, acquires or maintains a debt, equity, or convertible security interest as part of such **Fund's** portfolio.

Nothing herein contained shall be held to vary, alter, waive, or extend any of the terms, conditions, exclusions, or limitations of the above-mentioned policy, except as expressly stated herein. This endorsement is part of such policy and incorporated therein.

Issuing Company:
Policy Number:

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Supporting Document Schedules

| | |
|--------------------------|--|
| Satisfied - Item: | Readability Certificate |
| Comments: | Form IDF-19001 Ed. 01-16 has a Flesch Score of 59. |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|-------------------------|--------------------------|
| Bypassed - Item: | Consulting Authorization |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|-------------------------|-------------------------|
| Bypassed - Item: | Copy of Trust Agreement |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|-------------------------|---|
| Bypassed - Item: | Expedited SERFF Filing Transmittal Form |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |